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PATENT

Attorney Docket No: 81839.0106

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jun KISHIMOTO

Serial No: Not Assigned [parent 09/447,309]

Filed: November 14, 2001

For: SEMICONDUCTOR WAFER AND METHOD  
FOR FABRICATION THEREOF

Art Unit: Not Assigned

Examiner: Not Assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 713 623 873 US

Date of Deposit: November 14, 2001

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of the Divisional Application Transmittal
- ☒ check in amount of \$ 740.00 for filing fee
- ☒ copy of executed Declaration and Power of Attorney filed in parent
- ☒ Associate Power of Attorney (37 C.F.R. § 1.34)
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement listing 8 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Commissioner for Patents  
Washington, D.C. 20231.

Date: November 14, 2001

Hogan & Hartson, LLP  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Telephone: 213-337-6700  
Facsimile: 213-337-6701

REBECCA GOLDEN

Name of person mailing papers

*Rebecca Golden*

Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification  
of this Application:

☒ Class 438

☒ Subclass 690.000

Prior Application  
Examiner: K. Chen  
Art Unit: 1765

**DIVISIONAL APPLICATION TRANSMITTAL**

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This is a request for filing a ☐ continuation ☒ divisional application pursuant to 37 C.F.R. § 1.53(b), of pending prior application:

Serial No. 09/447,309 filed November 22, 1999, by Jun KISHIMOTO (inventor(s) currently of record in prior application) for SEMICONDUCTOR WAFER AND METHOD FOR FABRICATION THEREOF.

- ☒ Enclosed is a copy of the prior application, including the oath or declaration as originally filed, or a replacement specification which does not add new matter.
- ☒ The filing fee is calculated below:

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	3	-20	0	LG=\$18 SM=\$9	\$18	\$ 0	
B	INDEPENDENT CLAIMS FEE*	2	-3	0	LG=\$84 SM=\$42	\$84	\$ 0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 0
D	MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$ 0	
E	BASIC FEE				LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$ 740	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 740
	*LIST INDEPENDENT CLAIMS 1, 6							

- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Account No. 50-1314. A copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 740.00 is enclosed.

5. ☒ Cancel in this application original claim(s) 2-5 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
6. ☒ Amend the specification by inserting before the first line the sentence:

*a,* This is a ☐ continuation ☒ divisional of application Serial No. 09/447,309 filed November 22, 1999, which application is hereby incorporated by reference in its entirety. *US Pat. 6352927 (92)*

- 7a. ☒ New formal drawings are enclosed.
- 7b. ☒ Priority of application Serial No(s).:
- Japanese application Serial No. 10-335642 filed November 26, 1998 is claimed under 35 U.S.C. § 119.
- 7c. ☒ The certified copy of the priority application was filed in prior application Serial No. 09/447,309, filed November 22, 1999.
8. ☒ The prior application is assigned of record to: SHIN-ETSU HANDOTAI CO., LTD.
9. ☒ The power of attorney in the prior application is as listed on the attached copies of the declaration and Associate Power of Attorney filed in the parent application.
- a. ☒ The power appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☒ Address all future communications to:

John P. Scherlacher  
HOGAN & HARTSON L.L.P.  
Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Los Angeles, CA 90071  
Telephone: (213) 337-6700  
Facsimile: (213) 337-6701

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- d. ☒ A new Associate Power of Attorney is enclosed.
10. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
11. ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Applicant no longer claims small entity status.

12. ☒ An Information Disclosure Statement listing 8 references is enclosed.

Date: November 14, 2001

  
JOHN P. SCHERLACHER  
Registration No. 23,009

HOGAN & HARTSON L.L.P.  
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